

# Uttarakhand Power Corporation Limited

Annexure-D: to Board's Report for the FY 2020-21

## Form No. MGT-9

**EXTRACT OF ANNUAL RETURN**  
**As on the Financial Year ended on 31<sup>st</sup> March 2021**

[Pursuant to section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

### **I. REGISTRATION AND OTHER DETAILS:**

1.	CIN	U40109UR2001SGC025867
2.	Registration Date	12.02.2001
3.	Name of the Company	Uttarakhand Power Corporation Limited
4.	Category/Sub-Category of the Company	Public Company
5.	Address of the Registered office and contact details	Victoria Cross Vijeta Gabar Singh Urja Bhawan, Kanwali Road, Dehradun, 248001 Phone No.: 0135-2763672, 2763673, 2763674, 2763675 Fax No.: 0135-2763821
6.	Whether listed company Yes/No	No
7.	Name, Address and Contact details of Registrar and Transfer Agent, If any	NA

### **II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sl.No.	Name and Description of main products/ services	NIC Code of the Product / service	% total turnover of the company
1	Distribution of Electricity	35109	100%

### **III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

Sl. NO	Name and Address of the company	CIN/GLN	Holding/ Subsidiary/ Associate	%of Shares held	Applicable Section
1	NIL				
2					

#### IV. SHAREHOLDING PATTERN(Equity Share Capital Breakup as percentage of Total Equity)

##### *i)Category-wise Share Holding*

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				%Change during The year	
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares		
<b>A. Promoters</b>										
<b>(1)Indian</b>										
a)Individual/ HUF										
b)Central Govt										
c) State Govt(s)			14,449,084	100%			14,449,084	100%		
d) Bodies Corp.										
e)Banks/FI										
f) Any Other....										
<b>Sub-total(A) (1)</b>										
<b>(2)Foreign</b>										
a)NRIs- Individuals										
b)Other- Individuals										
c)Bodies Corp.										
d)Banks/FI										
e)Any other...										
<b>Sub-total(A) (2)</b>										
<b>Total shareholding of Promoter</b>										
<b>(A)=(A) (1)+(A) (2)</b>										
<b>B. Public Shareholding</b>										
<b>(1).Institutions</b>										
a)Mutual Funds										
b)Banks/FI										
c)Central Govt										
d)State Govt(s)										
e)Venture Capital Funds										
f)Insurance Companies										
g)FIIs										
h)Foreign Venture Capital										

Funds i) Others(specify) <b>Sub-total(B)(1):-</b> <b>(2).Non- Institutions</b> a)Bodies Corp. i)Indian ii)Overseas b)Individuals i)Individual shareholders holding nominal share capital upto Rs.1lakh ii)Individual shareholders holding nominal share capital in excess of Rs1 lakh c)Others(specify) <b>Sub-total(B)(2):-</b> Total Public Shareholding (B) = (B) (1) + (B) (2)	-----NIL-----								
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>									
<b>Grand Total (A+B+C)</b>			<b>14,449,084</b>	<b>100%</b>			<b>14,449,084</b>	<b>100%</b>	

***(ii) Shareholding of Promoters***

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1	Govt. of Uttarakhand	14,449,084	100%		14,449,084	100%		NIL
	<b>Total</b>	<b>14,449,084</b>	<b>100%</b>		<b>14,449,084</b>	<b>100%</b>		<b>NIL</b>

***(iii) Change in Promoters' Shareholding (please specify ,if there is no change)***

Sl. No.	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	01.04.2020		14,449,084	100%	-	-

	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase /decrease (e.g. allotment/ transfer/ bonus/ sweat Equity etc):	~	~	~	~	~	~
	At the End of the year			14,449,084	100%		

***(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):***

Sl. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	<b>For Each of the Top 10 Shareholders (State Govt. of Uttarakhand)</b>				
	At the beginning of the year	14,449,084	100%	~	~
	Date wise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ Decrease (e.g. allotment/ transfer/ bonus/sweat equity etc): 18.07.2017 (allot)				
	At the End of the year (or on the date of separation, if separated during the year)	14,449,084	100%	~	~

***(v) Shareholding of Directors and Key Managerial Personnel:***

Sl. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	<b>For Each of the Directors and KMP</b>				
	At the beginning of the year				
	Date wise Increase/ Decrease in Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc):	-----NIL-----			
	At the End of the year				

## V. INDEBTEDNESS

### Indebtedness of the Company including interest outstanding/accrued but not due for payment

Particulars	Secured loans excluding deposits	Unsecured Loans	Deposits	Total Indebtness
	Note 1	Note 2	Note 3	
Indebtedness at the beginning of the Financial Year				
(i) Principal Amount	17,976,437,002	207,962,038	16,442,631,744	34,627,030,784
(ii) Interest due but not paid	~		~	~
(iii) Interest accrued but not due	50,720,257	~	838,975,671	889,695,928
<b>Total (i+ii+iii)</b>	<b>18,027,157,259</b>	<b>207,962,038</b>	<b>17,281,607,415</b>	<b>35,516,726,712</b>
Change in Indebtedness during the Financial year				
Addition	77,874,346,080	~	4,051,711,975	81,926,058,055
Reduction	78,142,377,565	62,390,480	5,684,412,747	83,889,180,792
<b>Net Change</b>	<b>(268,031,485)</b>	<b>(62,390,480)</b>	<b>(1,632,700,773)</b>	<b>(1,963,122,737)</b>
Indebtedness at the end of the Financial Year				
(i) Principal Amount	17,708,405,517	145,571,558	14,809,930,971	32,663,908,047
(ii) Interest due but not paid	~		~	~
(iii) Interest accrued but not due	~	~	392,351,025	392,351,025
<b>Total (i+ii+iii)</b>	<b>17,708,405,517</b>	<b>145,571,558</b>	<b>15,202,281,996</b>	<b>33,056,259,072</b>

#### Note:

- 1) These liabilities represent secured obligations (including current portion of obligations) of Rs 1581,87,10,626 and Short Term Borrowings of Rs 188,96,94,892 as on March 31, 2021.
- 2) These represent the other borrowings (including current portion of obligations) as on March 31, 2021.
- 3) These are deposits received on account of Electrification, Service Connection, Security received from consumers, retention money from supplier/contractors & other deposits payable.

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole-time Directors and /or Manager:

S. no	Particulars of Remuneration	Name of MD/WTD/ Manager					Total Amount (in Rs.)
		B.C.K Mishra	N.K. Gupta	J.M.S. Rauthan	A.K. Agarwal	A.K. Singh	
1.	Gross salary (a) Salary as per provisions contained u/s 17(1) of the Income –tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu Of salary under section 17(3) income- tax Act, 1961	20,76,640	28,44,590	31,95,924	33,24,324	32,58,412	1,46,99,890
2.	Stock Option	-	-	-	-	-	-
3.	Sweat Equity	-	-	-	-	-	-
4.	Commission - as %of profit - Others, specify...	-	-	-	-	-	-
5.	Others, please specify	-	-	-	-	-	-
6.	<b>Total(A)</b>	<b>20,76,640</b>	<b>28,44,590</b>	<b>31,95,924</b>	<b>33,24,324</b>	<b>32,58,412</b>	<b>1,46,99,890</b>
	Ceiling as per the Act						

### B. Remuneration to other directors:

Sl. no	Particulars of Remuneration	Name of Directors								Total Amount with GST	Total Amount Without GST
		Sh. J. L. Bajaj		Sh. C. M. Vasudev		Sh. Alok Rawat		Sh. B. P. Pandey			
		With GST	Without GST	With GST	Without GST	With GST	Without GST	With GST	Without GST		
	<b>Independent Directors</b>										
	· Fee for attending board committee meetings	230100	195000	141600	120000	177000	150000	230100	195000	778800	660000
	· Commission	-	-	-	-	-	-	-	-	-	-
	· Others, please specify	-	-	-	-	-	-	-	-	-	-
	<b>Total(1)</b>	<b>230100</b>	<b>195000</b>	<b>141600</b>	<b>120000</b>	<b>177000</b>	<b>150000</b>	<b>230100</b>	<b>195000</b>	<b>778800</b>	<b>660000</b>

Other Non-Executive Directors · Fee for attending board committee meetings · Commission · Others, please specify	-	-	-	-	-	-	-	-	-	-	-
Total (2)	0	0	0	0	0	0	0	0	0	0	0
Total (B)=(1+2)	230100	195000	141600	120000	177000	150000	230100	195000	778800	660000	
Total Managerial Remuneration											
Over all Ceiling as per the Act											

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WHOLE TIME DIRECTOR**

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-Tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961. (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961		28,34,730		28,34,730
2.	Stock Option		~		~
3.	Sweat Equity		~		~
4.	Commission - as% of profit - Others, specify...				
5.	Others, please specify		~		~
	<b>Total</b>		<b>28,34,730</b>		<b>28,34,730</b>

**VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES**

Type	Section of the Companies Act	Brief Descriptions	Details of penalty / punishment / Compounding fees imposed	Authority (RD / NCLT / Court)	Appeal made if any (give details)
<b>A. COMPANY</b>					
Penalty	~	~	~	~	~
Punishment	~	~	~	~	~
Compounding	~	~	~	~	~
<b>B. DIRECTORS</b>					
Penalty	~	~	~	~	~
Punishment	~	~	~	~	~
Compounding	~	~	~	~	~
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	~	~	~	~	~
Punishment	~	~	~	~	~
Compounding	~	~	~	~	~